

08-31-06

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SINGAPORE, BRUSSELS



August 29, 2006

Writer's Direct Contact  
(650) 813-5832  
CHolland@mofo.com

**VIA EXPRESS MAIL:**  
**AIRBILL NO.: EV544977650US**

Certificate of Corrections Branch  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: US Patent Nos. **6,449,956**  
For: **BYPASS AIR INJECTION METHOD AND APPARATUS FOR GAS TURBINES**  
By: Kevin M. KOLMAN et al.  
Our Reference: 22077-28010.00

Dear Sir or Madam:

Per your request, we are enclosing documents as filed on June 23, 2005 for the above-referenced patent.

Also enclosed is a Return Receipt Postcard. Please stamp it received and return to us at your earliest convenience. Thank you for your help in issuing the requested Certificate of Correction.

Very truly yours,



Charles D. Holland

CDH3/lhg3

09/01/2006 TBESHAW2 00000004 031952 6449956  
03 FC:1811 100.00 DA

Enclosure



Docket No.: 220772801000  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES

**CONSENT OF CURRENT INVENTORS TO CORRECT INVENTORSHIP**  
**PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

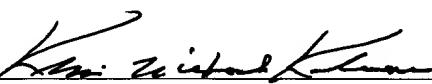
MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We, Kevin Michael Kolman and James Michael Storey declare as follows:

- 1) We reside at Simpsonville, SC; and  
Taylors, SC, respectively.
- 2) We are inventors of the above-referenced issued patent;
- 3) We understand that a Petition to Correct Inventorship under 37 C.F.R. §1.324 is being filed in the above-referenced issued patent to add Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON as a co-inventor on the issued patent;
- 4) We have no disagreement with or are in agreement with the requested change in inventorship to add Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON as named inventors.

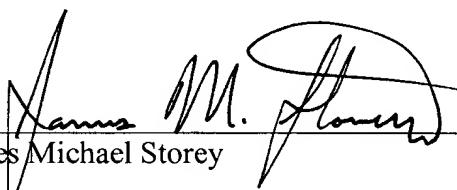
We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Kevin Michael Kolman

Dated: 9 June 2005

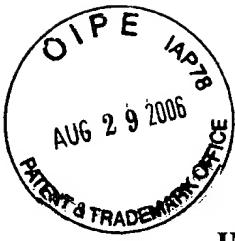
Signed at location: Greenville, SC



James Michael Storey

Dated: 9 - June - 2005

Signed at location: Greenville, SC



Docket No.: 220772801000  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: **BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES**

**STATEMENT BY TIMOTHY CARON**  
**IN SUPPORT OF REQUEST FOR CORRECTION OF INVENTORSHIP**  
**PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, Timothy CARON, declare as follows:

- 1) I reside at 2983 East Vaughn Avenue, Gilbert, Arizona 85233;
- 2) I am an inventor of an invention claimed in the above-referenced issued patent; and
- 3) my name was omitted from the inventorship as originally filed, and this error in inventorship occurred without any deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Timothy Caron

Signature:

Timothy Caron

Date:

1/31/05



Docket No.: 220772801000  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: **BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES**

**STATEMENT BY KARE LUNDBERG**  
**IN SUPPORT OF REQUEST FOR CORRECTION OF INVENTORSHIP**  
**PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, Kare LUNDBERG, declare as follows:

- 1) I reside at 2619 E. Hazeltine Way, Chandler, Arizona 85249;
- 2) I am an inventor of an invention claimed in the above-referenced issued patent;  
and
- 3) my name was omitted from the inventorship as originally filed, and this error in  
inventorship occurred without any deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and  
that all statements made on information and belief are believed to be true; and that these  
statements were made with the knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Kare Lundberg

Signature: 

Date: 1/31/2005



Docket No.: 220772801000  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: **BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES**

**STATEMENT BY RALPH A. DALLA BETTA**  
**IN SUPPORT OF REQUEST FOR CORRECTION OF INVENTORSHIP**  
**PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, Ralph A. DALLA BETTA, declare as follows:

- 1) I reside at 865 Dovertown Square, Mountain View, California 94040;
- 2) I am an inventor of an invention claimed in the above-referenced issued patent; and
- 3) my name was omitted from the inventorship as originally filed, and this error in inventorship occurred without any deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

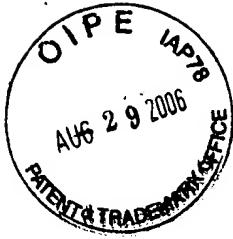
imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Ralph A. Dalla Betta

Signature: 

Date:

9 February 2005



Docket No.: 220772801000  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: **BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES**

**CONSENT OF ASSIGNEE IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP IN AN ISSUED PATENT  
PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

General Electric Company, an assignee of the above-identified patent by virtue of an assignment document as recorded in the Patent and Trademark Office at Reel 011854, Frame 0597, is fully aware of the request for change of inventorship, and that the corrected inventorship includes Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON. Accordingly, General Electric Company consents to the change in inventorship to add Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON.

General Electric Company

Signature: Ernest G. Cusick  
Name: Ernest G. Cusick  
Title: Patent Counsel  
Date: June 9, 2005



Docket No.: 220772801000  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES

CONSENT OF ASSIGNEE IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP IN AN ISSUED PATENT  
PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Catalytica Energy Systems, Inc., an assignee of the above-identified patent by virtue of an assignment document as recorded in the Patent and Trademark Office at Reel 014567, Frame 0198, is fully aware of the request for change of inventorship, and that the corrected inventorship includes Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON. Accordingly, Catalytica Energy Systems, Inc. consents to the change in inventorship to add Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON.

Catalytica Energy Systems, Inc.

Signature: Ralph A. Dalla Betta  
Name: RALPH A. DALLA BETTA  
Title: VICE PRESIDENT  
Date: 9 Feb 2005  
9 Feb 2005

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,449,956  
DATED : September 17, 2002  
INVENTOR(S) : Kevin M. KOLMAN et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Add as an assignee --Catalytica Energy Systems, Inc., Gilbert, Arizona (US)--

MAILING ADDRESS OF SENDER:

PATENT NO. 6,449,956

755 Page Mill Road  
Palo Alto, California 94304

No. of additional copies 0

PA-949827



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



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CHARLES D. HOLLAND  
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PALO ALTO, CA 94304-1018

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/27/2004

REEL/FRAME: 014567/0198  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

DALLA BETTA, RALPH

DOC DATE: 04/09/2004

ASSIGNOR:

CATALYTICA ENERGY SYSTEMS, INC.  
1388 N. TECH BOULEVARD  
GILBERT, ARIZONA 85233

SERIAL NUMBER: 09828471  
PATENT NUMBER: 6449956

FILING DATE: 04/09/2001  
ISSUE DATE: 09/17/2002

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Ralph DALLA BETTA

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of Conveyance:

 Assignment Merger Security Agreement Change of Name Other \_\_\_\_\_

Execution Date: April 9, 2004

## 2. Name and address of receiving party(ies)

Name: Catalytica Energy Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address:  
1988 N. Tech Boulevard

City: Gilbert

State: Arizona

Zip: 85233

Additional name(s) & address(es) attached?  Yes  No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: \_\_\_\_\_

A. Patent Application No.(s):

B. Patent No.(s):

6,449,956

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles D. Holland  
MORRISON & FOERSTER LLP

Internal Address: Atty. Dkt.: 220772801000

Street Address: 755 Page Mill Road

City:  
Palo AltoState: CA  
Zip: 94304-1018

## 6. Total number of applications and patents involved: \_\_\_\_\_ 1

7. Total fee (37 CFR 3.41) \$ 40

 Enclosed Authorized to be charged to deposit account Authorized to be charged to credit card  
(Form 2035 enclosed)

8. Deposit account number: 03-1952

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles D. Holland, Reg. No. 35,196

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 2

CH 040.00 031952 6449956

APRIL 12, 2004



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Patent and Trademark Office  
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CHARLES D. HOLLAND  
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RECORDATION DATE: 04/05/2004

REEL/FRAME: 014491/0405  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
LUNDBERG, KARE

DOC DATE: 01/23/2004

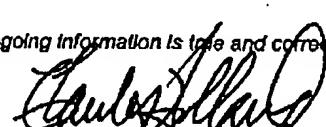
ASSIGNOR:  
CARON, TIMOTHY

DOC DATE: 01/23/2004

ASSIGNEE:  
CATALYTICA ENERGY SYSTEMS, INC.  
1388 N. TECH BOULEVARD  
GILBERT, ARKANSAS 85233

DOROTHY WILLIAMS, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

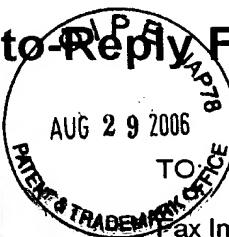
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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 6/31/2002)		RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office <b>PATENTS ONLY</b>	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof			
1. Name of conveying party(ies): Kare LUNDBERG and Timothy CARON		2. Name and address of receiving party(ies)	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Name: Catalytica Energy Systems, Inc. Internal Address: Street Address: 1388 N. Tech Boulevard	
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		City: Gilbert. State: Arizona Zip: 85233 Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Execution Date: January 23, 2004 for all conveying parties			
4. Application number(s) or patent number(s):			
If this document is being filed together with a new application, the execution date of the new application is:			
A. Patent Application No.(s):		B. Patent No.(s): 6,449,956	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed:		6. Total number of applications and patents involved: 1	
Name: Charles D. Holland MORRISON & FOERSTER LLP Internal Address: Atty. Dkt.: 220772801000 Street Address: 755 Page Mill Road		7. Total fee (37 CFR 3.41) \$ 40 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed)	
City: Palo Alto State: CA Zip: 94304-1018		8. Deposit account number: 03-1952 (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Charles D. Holland, Reg. No. 35,196 Name of Person Signing		Signature  Date 04/05/04	
Total number of pages including cover sheet, attachments, and documents: 3			

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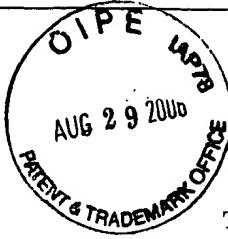
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06/23/2005 18:55 FAX 650 813 5993 NOFO PA #EIGHT 2001					
<b>MORRISON   FOERSTER</b> 755 PAGE MILL ROAD PALO ALTO CALIFORNIA 94304-1016 TELEPHONE: 650.813.5600 FACSIMILE: 650.494.0792 <a href="http://WWW.MFO.COM">WWW.MFO.COM</a>					
<small>MORRISON &amp; FOERSTER LLP      NEW YORK, SAN FRANCISCO,      LOS ANGELES, PALO ALTO,      SAN DIEGO, WASHINGTON, D.C.,      DENVER, NORTHBROOK, VIEENNA,      CLEVELAND, LONDON, FARNHAM,      WALNUT CREEK, CAYUCAS CITY,      TOKYO, LONDON, BEIJING,      SHANGHAI, HONG KONG,      SINGAPORE, BRUSSELS</small>					
<b>To:</b> <table border="1"> <tr> <td>NAME: <b>Commissioner for Patents US Patent and Trademark Office</b></td> <td>FACSIMILE: <b>(703) 872-9306</b></td> <td>TELEPHONE:</td> </tr> </table>			NAME: <b>Commissioner for Patents US Patent and Trademark Office</b>	FACSIMILE: <b>(703) 872-9306</b>	TELEPHONE:
NAME: <b>Commissioner for Patents US Patent and Trademark Office</b>	FACSIMILE: <b>(703) 872-9306</b>	TELEPHONE:			
<b>FROM:</b> Charles D. Holland <b>DATE:</b> June 23, 2005					
<b>Number of pages with cover page:</b> 26					
Preparer of this slip has confirmed that facsimile number given is correct: <b>7122/Kerry Keehan</b>					
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<b>Comments:</b> <div style="border: 1px solid black; padding: 5px;">       Attorney Docket No.: 220772801000        Group Art Unit: Not Yet Assigned        Examiner: Not Yet Assigned        Patent No. 6,449,956        Issued: September 17, 2002        Inventor(s): Kevin M. KOLMAN et al.        Title: BYPASS AIR INJECTION METHOD AND APPARATUS FOR GAS TURBINES        Papers Attached:        1. Transmittal Form (1 page)        2. Fee Transmittal + copy (2 pages)        3. Fee Transmittal PTO-SB/17p + copy (2 pages)        4. Request to Correct Inventorship In An Issued Patent (2 pages)        5. Petition Under 37 C.F.R. § 1.183 (2 pages)        6. Consent of Assignee by General Electric Company (1 page)        7. Consent of Current Inventors (2 pages)        8. Consent of Assignee (Catalytica Energy Systems, Inc. (1 page))        9. Statement By Timothy Caron (2 pages)        10. Statement By Kara Lundberg (2 pages)        11. Statement By Ralph Dalla Beta (2 pages)        12. Copy of Notice of Recordation of Assignment Document from Ralph Dalla Beta to Catalytica Energy Systems, Inc. (2 pages)        13. Copy of Notice of Recordation of Assignment Document from Kara Lundberg and Timothy Caron to Catalytica Energy Systems, Inc. (2 pages)        14. Certificate of Correction adding Catalytica Energy Systems, Inc. as Assignee (1 page)        15. Certificate of Correction adding Ralph A. Dalla Beta, Kara Lundberg, and Timothy Caron as Inventors (1 page)     </div>					
PAGE 1/25 * RCVD AT 6/23/2005 7:52:55 PM [Eastern Daylight Time] * SVR:USPTO-EXXRF-1W * DMS:2724306 * CSD:650 813 5993 * DURATION (min:sec):00:20					

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16

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**To:**

NAME:	FACSIMILE:	TELEPHONE:
Commissioner for Patents US Patent and Trademark Office	(703) 872-9306	

**FROM:** Charles D. Holland**DATE:** June 23, 2005Number of pages with cover page: **26**Preparer of this slip has confirmed that facsimile number given is correct: 7122/Kerry Keehan**CAUTION - CONFIDENTIAL**

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Comments: Note Fee Transmittal w/ duplicate

Attorney Docket No.: 220772801000

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Patent No. 6,449,956

Issued: September 17, 2002

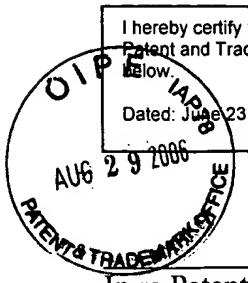
Inventor(s): Kevin M. KOLMAN et al.

Title: BYPASS AIR INJECTION METHOD AND APPARATUS FOR GAS TURBINES

Papers Attached:

1. Transmittal Form (1 page)
2. Fee Transmittal + copy (2 pages)
3. Fee Transmittal PTO-SB/17p + copy (2 pages)
4. Request to Correct Inventorship In An Issued Patent (2 pages)

DOCKETED



I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.

Dated: June 23, 2005

Signature:

(Kerrv C. Keegan)

Docket No.: 220772801000  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Confirmation No.: N/A

Issued: September 17, 2002

Art Unit: Not Yet Assigned

For: BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES

Examiner: Not Yet Assigned

### PETITION UNDER 37 C.F.R. 1.183 FOR SUSPENSION OF RULES CORRECTION OF ASSIGNEES' NAMES ON ISSUED PATENT

MS Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

#### INTRODUCTORY COMMENTS

This Petition for correction of assignees' names on U.S. Pat. No. 6,449,956 accompanies a Request to Correct Inventorship in an Issued Patent for U.S. Pat. No. 6,449,956. The undersigned requests that the Office review and act on the Request to Correct Inventorship prior to or concurrently with taking action on the instant Petition. The undersigned also requests that the requirements of 37 C.F.R. 3.81(a) be waived to permit the correct name of the assignees to be provided after issuance of the patent.

09/01/2006 TBESHAW2 00000004 031952 6449956  
01 FC:1462 400.00 DA

The accompanying Request to Correct Inventorship adds three new inventors to the patent listed above: Ralph A. Dalla Betta, Kare Lundberg, and Timothy Caron. By virtue of an assignment recorded on April 27, 2004 on Reel 014567 and Frame 0198, and April 5, 2004 on Reel

014491 and Frame 0405 respectively, these three new inventors have assigned their rights, title, and interest in the patent to Catalytica Energy Systems, Inc. A copy of the Notice of Recordation of Assignment Document accompanies this Petition.

The failure to include the correct names of the assignees on form PTOL-85B was inadvertent. No people other than employees of General Electric Company were listed as inventors when form PTOL-85B was submitted to the U.S. Patent and Trademark Office, and it was not until after patent issuance that General Electric Company agreed that the three new inventors (not employed by General Electric Company and having no obligation to assign to General Electric Company) should be added to the patent.

This Petition is accompanied by an authorization to charge the undersigned's deposit account the fee required by 37 C.F.R. 1.17(h).

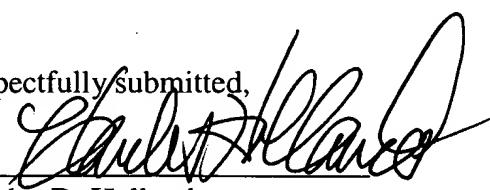
The undersigned therefore requests that the Office issue a Certificate of Correction for U.S. Pat. No. 6,449,956, naming as assignees General Electric Company and Catalytica Energy Systems, Inc. and amending the issued patent as follows:

In the Assignee field, add --Catalytica Energy Systems, Inc., Gilbert, AZ (US)--

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, the undersigned petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing docket no. 220772801000.

Dated: June 23, 2005

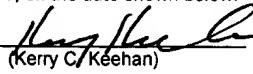
Respectfully submitted,

By   
Charles D. Holland

Registration No.: 35,196  
MORRISON & FOERSTER LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
(650) 813-5832

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-9306, on the date shown below.

Dated: 6/21/05

Signature: 

(Kerry C. Keehan)

Docket No.: 220772801000  
(PATENT)

AUG 29 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Kevin M. KOLMAN et al.

Patent No.: 6,449,956

Issued: September 17, 2002

For: BYPASS AIR INJECTION METHOD AND  
APPARATUS FOR GAS TURBINES

**REQUEST TO CORRECT INVENTORSHIP IN AN ISSUED PATENT**  
**PURSUANT TO 35 U.S.C. §256 AND 37 C.F.R. §1.324**

MS Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Petitioner respectfully requests that the inventorship of the above-captioned issued patent be corrected to add the following co-inventors: Ralph A. DALLA BETTA, Kare LUNDBERG, and Timothy CARON. The inventorship error occurred without deceptive intention on the part of the omitted inventors.

This petition is accompanied by a statement from each person being added that the inventorship error occurred without deceptive intention on his or her part, a statement from the currently-listed inventors either agreeing to the change of inventorship or stating they have no disagreement, a statement from all assignees of the parties agreeing to the change of inventorship in the patent, and the fee set forth in §1.20(b).

Petitioner therefore requests that the following corrections be made to the issued patent:

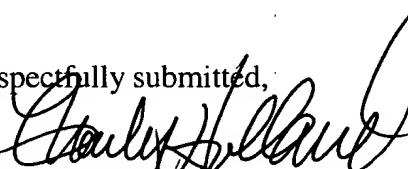
In the Inventors field: add --Ralph A. DALLA BETTA, Mountain View, CA (US), Kare LUNDBERG, E. Hazeltine Way, Chandler, AZ (US), and Timothy CARON, East Vaughn Avenue, Gilbert, AZ (US)--.

The required fee is authorized to be charged to Deposit Account 03-1952 referencing docket number 220772801000. Fee Transmittal (form PTO/SB/17) is enclosed in duplicate for that purpose. The Patent and Trademark Office is respectfully requested to correct the inventorship.

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, the undersigned petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing docket no. 220772801000.

Dated: June 23, 2005

Respectfully submitted,

By   
Charles D. Holland

Registration No.: 35,196  
MORRISON & FOERSTER LLP  
755 Page Mill Road  
Palo Alto, California 94304  
(650) 813-5832



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PALO ALTO  
CALIFORNIA 94304-1018  
TELEPHONE: 650.813.5600  
FACSIMILE: 650.494.0792  
WWW.MOFO.COM

MORRISON & FOERSTER LLP  
NEW YORK, SAN FRANCISCO,  
LOS ANGELES, PALO ALTO,  
SAN DIEGO, WASHINGTON, D.C.  
DENVER, NORTHERN VIRGINIA,  
ORANGE COUNTY, SACRAMENTO,  
WALNUT CREEK, CENTURY CITY  
TOKYO, LONDON, BEIJING,  
SHANGHAI, HONG KONG,  
SINGAPORE, BRUSSELS

To:

NAME:	FACSIMILE:	TELEPHONE:
<b>Commissioner for Patents US Patent and Trademark Office</b>	<b>(703) 872-9306</b>	

FROM: Charles D. Holland

DATE: June 23, 2005

Number of pages with cover page: **26**Preparer of this slip has confirmed that facsimile number given is correct: 7122/Kerry Keehan**CAUTION - CONFIDENTIAL**

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Comments: Note Fee Transmittal w/ duplicate

Attorney Docket No.: 220772801000

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Patent No. 6,449,956

Issued: September 17, 2002

Inventor(s): Kevin M. KOLMAN et al.

Title: BYPASS AIR INJECTION METHOD AND APPARATUS FOR GAS TURBINES

Papers Attached:

1. Transmittal Form (1 page)
2. Fee Transmittal + copy (2 pages)
3. Fee Transmittal PTO-SB/17p + copy (2 pages)
4. Request to Correct Inventorship In An Issued Patent (2 pages)
5. Petition Under 37 C.F.R. § 1.183 (2 pages)
6. Consent of Assignee by General Electric Company (1 page)
7. Consent of Current Inventors (2 pages)
8. Consent of Assignee (Catalytica Energy Systems, Inc. (1 page))
9. Statement By Timothy Caron (2 pages)
10. Statement By Kara Lundberg (2 pages)
11. Statement By Ralph Dalla Betta (2 pages)
12. Copy of Notice of Recordation of Assignment Document from Ralph Dalla Betta to Catalytica Energy Systems, Inc. (2 pages)
13. Copy of Notice of Recordation of Assignment Document from Kara Lundberg and Timothy Caron to Catalytica Energy Systems, Inc. (2 pages)
14. Certificate of Correction adding Catalytica Energy Systems, Inc. as Assignee (1 page)
15. Certificate of Correction adding Ralph A. Dalla Betta, Kara Lundberg, and Timothy Caron as Inventors (1 page)



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FEE  
Under 37 CFR 1.17(f), (g) & (h)  
TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	Patent No. 6,449,956
Filing Date	Issued September 17, 2002
First Named Inventor	Kevin M. KOLMAN
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	220772801000

Enclosed is a petition filed under 37 CFR 1.183 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 400.00 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

**Payment of Fees** (small entity amounts are NOT available for the petition fees).

- The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 03-1952:  
 Petition fee under 37 CFR 1.17(f), (g) or (h)     Any deficiency of fees and credit of any overpayments  
 Enclose a duplicative copy of this form for fee processing.  
 Check in the amount of \$ \_\_\_\_\_ is enclosed.  
 Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462**

For petitions filed under:

- § 1.53(e) – to accord a filing date.
- § 1.57(a) – to accord a filing date.
- § 1.182 – for decision on a question not specifically provided for.
- § 1.183 – to suspend the rules.
- § 1.378(e) – for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) – to accord a filing date to an application under § 1.740 for extension of a patent term.

**Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463**

For petitions filed under:

- § 1.12 – for access to an assignment record.
- § 1.14 – for access to an application.
- § 1.47 – for filing by other than all the inventors or a person not the inventor.
- § 1.59 – for expungement of information.
- § 1.103(a) – to suspend action in an application.
- § 1.136(b) – for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 – for review of refusal to publish a statutory invention registration.
- § 1.296 – to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 – for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) – for patent owner requests for extension of time in ex parte reexamination proceedings.
- § 1.956 – for patent owner requests for extension of time in inter partes reexamination proceedings.
- § 5.12 – for expedited handling of a foreign filing license.
- § 5.15 – for changing the scope of a license.
- § 5.25 – for retroactive license.

**Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464**

For petitions filed under:

- § 1.19(g) – to request documents in a form other than that provided in this part.
- § 1.84 – for accepting color drawings or photographs.
- § 1.91 – for entry of a model or exhibit.
- § 1.102(d) – to make an application special.
- § 1.138(c) – to expressly abandon an application to avoid publication.
- § 1.313 – to withdraw an application from issue.
- § 1.314 – to defer issuance of a patent.

Signature

June 23, 2005

Date

Charles D. Holland

35,196

Typed or printed name

Registration No., if applicable



PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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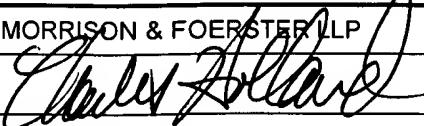
## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission	25	Application Number Patent No. 6,449,956
		Filing Date Issued September 17, 2002
		First Named Inventor Kevin M. KOLMAN
		Art Unit Not Assigned
		Examiner Name Not Assigned
		Attorney Docket Number 220772800000

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form + copy (2 pages)  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="margin-left: 20px;">Fee TransmittalPTOSB/17p + copy (2 pages) Request to Correct Inventorship in an Issued Patent (2 pages) Petition Under 37 C.F.R. § 1.183 (2 pages) Consent of Assignee General Electric Company (1 page) Consent of Current Inventors (2 pages) Consent of Assignee Catalytica Energy Systems, Inc. (1 page) Statement By Timothy Caron (2 pages)</p>
Remarks		
Statement By Kara Lundberg (2 pages) Statement By Ralph Dalla Betta (2 pages) Copy of Notice of Recordation of Assignment Document from Ralph Dalla Betta to Catalytica Energy Systems, Inc. (2 pages) Copy of Notice of Recordation of Assignment Document from Kara Lundberg and Timothy Caron to Catalytica Energy Systems, Inc. (2 pages) Certificate of Correction adding Catalytica Energy Systems, Inc. as Assignee (1 page) Certificate of Correction adding Ralph A. Dalla Betta, Kara Lundberg, and Timothy Caron as Inventors (1 page) Facsimile Cover Sheet		

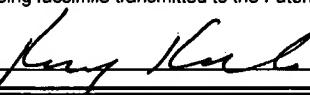
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature			
Printed name	Charles D. Holland		
Date	June 23, 2005	Reg. No.	35,196

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Dated: June 23, 2005

Signature:



(Kerry C. Keehan)



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 330.00)

## Complete if Known

Application Number	Patent No. 6,449,956
Filing Date	Issued September 17, 2002
First Named Inventor	Kevin M. KOLMAN
Examiner Name	Not Assigned
Art Unit	Not Assigned

Attorney Docket No. 220772801000

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Small Entity Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
----------	-----------------------

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 = _____	x _____	= _____				
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	_____	_____	_____

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/50	(round up to a whole number) x _____ = _____		

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Certificate of Correction of Assignee	100.00
Certificate of Correction of Inventors	100.00
Request to Correct Inventorship	130.00

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	35,196	Telephone	(650) 813-5832
Name (Print/Type)	Charles D. Holland		Date	June 23, 2005	

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,449,956  
DATED : September 17, 2002  
INVENTOR(S) : Kevin M. KOLMAN et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Add as inventors --Ralph A. DALLA BETTA, Mountain View, CA (US), Kare LUNDBERG, E. Hazeltine Way, Chandler, AZ (US), and Timothy CARON, East Vaughn Avenue, Gilbert, AZ (US)--.

MAILING ADDRESS OF SENDER:

PATENT NO. 6,449,956

755 Page Mill Road  
Palo Alto, California 94304  
PA-949482

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